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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DAY et al.

Atty. Ref.: ARC-124-1140

Serial No. 10/562,117

TC/A.U.: 3765

Filed: December 23, 2005

Examiner: Unknown

For: SAFETY HELMETS

\* \* \* \* \*

February 8, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Office of Initial Patent Examinations  
Filing Receipt Corrections**

Sir:

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly lists the inventors as Jonathan A. Price, Sarah E. Day and Andrew D. Foreman. It should read:

Jonathan A. Price, Farnborough, United Kingdom

Sarah E. Day, Farnborough, United Kingdom

Andrew D. Foreman, Farnborough, United Kingdom

Adrian Huggins, Farnborough, United Kingdom

See the attached copies of the executed declarations as filed on December 23, 2005 as well as the postcard receipt indicating "8" declaration pages.

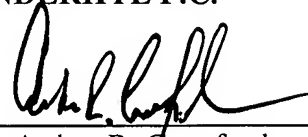
The correction is shown in red on the attached copy of the filing receipt. Please note your records accordingly. Correction of same is respectfully requested.

DAY et al.  
Serial No. 10/562,117

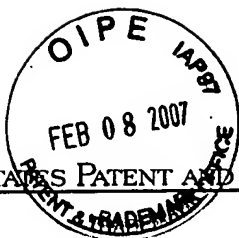
Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
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Reg. No. 25,327

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901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
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UNITED STATES PATENT AND TRADEMARK OFFICE **US**

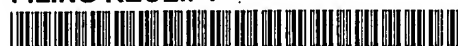
UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/562,117	12/23/2005	3765	950	124--1140 <b>ARC</b>	2	21	1

CONFIRMATION NO. 1233

23117  
 NIXON & VANDERHYE, PC  
 901 NORTH GLEBE ROAD, 11TH FLOOR  
 ARLINGTON, VA 22203

## FILING RECEIPT



\*OC000000022134225\*

Date Mailed: 01/25/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jonathan A. Price, Farnborough, UNITED KINGDOM;  
 Sarah E. Day, Farnborough, UNITED KINGDOM;  
 Andrew D. Foreman, Farnborough, UNITED KINGDOM;

**ADRIAN HUGGINS, Farnborough, United Kingdom**

**Power of Attorney:** The patent practitioners associated with Customer Number 23117.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/02737 06/25/2004

## Foreign Applications

UNITED KINGDOM 0314934.1 06/26/2003

If Required, Foreign Filing License Granted: 01/24/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,117**

Projected Publication Date: 05/03/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Safety helmets

**Preliminary Class**

002

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184; if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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Nixon & Vanderhye P.C. (10/99)  
(Domestic Non-Assigned/Foreign) Page 1

**RULE 63 (37 C.F.R. 1.63)**  
**INVENTORS DECLARATION FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Safety Helmets**

the specification of which (check applicable box(es)).

☐ is attached hereto

☐ was filed on

as U.S. Application Serial No.

(Any Dkt No IP/P /USW)

☒ was filed as PCT international application No.

GB2004/002737

on

25 June 2004

and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number

Country

Day/Month/Year Filed

0314934.1

GB

26 June 2003

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number

Date/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):

Application Serial No.

Day/Month/Year Filed

Status: patented

pending, abandoned

Pending

GB2004/002737

25 June 2004

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint Nixon & Vanderhye P.C. telephone number 703-818-

4000 (to whom all communications are to be directed) and the attorneys of: **Customer Number 23117**, individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. I also authorize Nixon & Vanderhye to add or delete attorneys from that Customer Number, and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

1. Inventor's Signature.

Date:

Inventor:

Jonathan  
(first)

A  
MI

Price  
(last)

GB  
(citizenship)

Residence: (city)

Farnborough, Hampshire

(state/country) GB

Mailing Address:

QinetiQ Limited, Cody Technology Park, Ively Road

(Zip Code)

GU14 0LX

2. Inventor's Signature:

Date:

Inventor:

Sarah  
(first)

E  
MI

Day  
(last)

1/11/05  
(citizenship)

Residence: (city)

Farnborough, Hampshire

(state/country) GB

Mailing Address:

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(Zip Code)

GU14 0LX

**[X] See attached sheet for additional inventor(s) information!!**



**COPY**

Serial No.  
Page 2

3. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Andrew D Foreman  
(first) MI (last) (citizenship)  
Residence: (city) Farnborough, Hampshire (state/country) GB  
Mailing Address: QinetiQ Limited, Cody Technology Park, Ively Road  
(Zip Code) GU14 0LX
4. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
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Residence: (city) Farnborough, Hampshire (state/country) GB  
Mailing Address: QinetiQ Limited, Cody Technology Park, Ively Road  
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5. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: \_\_\_\_\_  
(first) MI (last) (citizenship)  
Residence: (city) \_\_\_\_\_ (state/country) \_\_\_\_\_  
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(Zip Code) \_\_\_\_\_
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(Zip Code) \_\_\_\_\_

FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.



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Nixon & Vanderhye P.C. (10/99)  
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Date:

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Jonathan  
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Mailing Address:

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GU14 0LX

2. Inventor's Signature:

Date:

Inventor:

Sarah  
(first)

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Day  
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Residence: (city)

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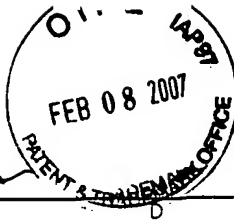
QinetiQ Limited, Cody Technology Park, Ively Road

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**[X] See attached sheet for additional inventor(s) information!!**

Serial No.  
Page 2



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Nixon & Vanderhye P.C. (10/99)  
(Domestic Non-Assigned/Foreign)

Date: 17/10/05

3. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
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Residence: (city) Farnborough, Hampshire (state/country) GB  
Mailing Address: QinetiQ Limited, Cody Technology Park, Ivelv Road  
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4. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Adrian (first) MI Huggins (last) (citizenship)  
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1. Inventor's Signature:

Date:

Inventor:

Jonathan  
(first)

A  
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Price  
(last)

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(citizenship)

Residence: (city)

Farnborough, Hampshire

(state/country) GB

Mailing Address:

QinetiQ Limited, Cody Technology Park, Ively Road

(Zip Code)

GU14 0LX

2. Inventor's Signature:

Date:

Inventor:

Sarah  
(first)

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Day  
(last)

(citizenship)

Residence: (city)

Farnborough, Hampshire

(state/country) GB

Mailing Address:

QinetiQ Limited, Cody Technology Park, Ively Road

(Zip Code)

GU14 0LX

**[X] See attached sheet for additional inventor(s) information!!**

Serial No.  
Page 2



**COPY**

3. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Andrew (first) D (last) Foreman (last) (citizenship)  
Residence: (city) Farnborough, Hampshire (state/country) GB  
Mailing Address: QinetiQ Limited, Cody Technology Park, Ively Road  
(Zip Code) GU14 0LX
4. Inventor's Signature: Adrian \_\_\_\_\_ Date: 18/10/05  
Inventor: Adrian (first) MI (last) Huggins (last) (citizenship)  
Residence: (city) Farnborough, Hampshire (state/country) GB  
Mailing Address: QinetiQ Limited, Cody Technology Park, Ively Road  
(Zip Code) GU14 0LX
5. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: \_\_\_\_\_ (first) MI (last) (citizenship)  
Residence: (city) \_\_\_\_\_ (state/country) \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
(Zip Code) \_\_\_\_\_
6. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: \_\_\_\_\_ (first) MI (last) (citizenship)  
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(Zip Code) \_\_\_\_\_
10. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: \_\_\_\_\_ (first) MI (last) (citizenship)  
Residence: (city) \_\_\_\_\_ (state/country) \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
(Zip Code) \_\_\_\_\_
11. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: \_\_\_\_\_ (first) MI (last) (citizenship)  
Residence: (city) \_\_\_\_\_ (state/country) \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
(Zip Code) \_\_\_\_\_
12. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: \_\_\_\_\_ (first) MI (last) (citizenship)  
Residence: (city) \_\_\_\_\_ (state/country) \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
(Zip Code) \_\_\_\_\_

FOR ADDITIONAL INVENTORS, check box [ ] and attach sheet with same information and signature and date for each.



**COPY**

Nixon & Vanderhye P.C. (10/99)  
(Domestic Non-Assigned/Foreign) Page 1

**INVENTOR'S DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Safety Helmets

the specification of which (check applicable box(es)):

☐ is attached hereto

☐ was filed on

as U.S. Application Serial No.

(Atty. Dkt. No. IP/P /USW )

☒ was filed as PCT International application No.

GB2004/002737

on

25 June 2004

and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number  
0314934.1

Country  
GB

Day/Month/Year Filed  
26 June 2003

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number

Date/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):  
Application Serial No.

GB2004/002737

Day/Month/Year Filed  
25 June 2004

Status: patented  
pending, abandoned  
Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint Nixon & Vanderhye P.C., telephone number 703-816-

4000 (to whom all communications are to be directed) and the attorneys of: **Customer Number 23117**, individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. I also authorize Nixon & Vanderhye to add or delete attorneys from that Customer Number, and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

1. Inventor's Signature:

*V. A. Rice*

Date: 02/11/2005

Inventor:

Jonathan  
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Price  
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(citizenship)

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Mailing Address:

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GU14 0LX

2. Inventor's Signature:

Inventor:

Sarah  
(first)

E  
MI

Day  
(last)

(citizenship)

Residence: (city)

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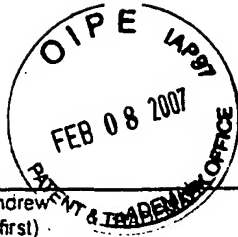
Mailing Address:

QinetiQ Limited, Cody Technology Park, Ively Road

(Zip Code)

GU14 0LX

**[X] See attached sheet for additional inventor(s) information!!**



COPY

Nixon & Vanderhye P.C. (10/99)  
(Domestic Non-Assigned/Foreign)

3.	Inventor's Signature: _____	Date: _____
	Inventor: Andrew (first) D MI Foreman (last) (citizenship)	
	Residence: (city) Farnborough, Hampshire (state/country) GB	
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4.	Inventor's Signature: _____	Date: _____
	Inventor: Adrian (first) MI Huggins (last) (citizenship)	
	Residence: (city) Farnborough, Hampshire (state/country) GB	
	Mailing Address: QinetiQ Limited, Cody Technology Park, Ively Road	
	(Zip Code) GU14 0LX	
5.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	
6.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	
7.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	
8.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	
9.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	
10.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
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	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	
12.	Inventor's Signature: _____	Date: _____
	Inventor: _____ (first) MI _____ (last) (citizenship)	
	Residence: (city) _____ (state/country) _____	
	Mailing Address: _____	
	(Zip Code) _____	

FOR ADDITIONAL INVENTORS, check box [ ] and attach sheet with same information and signature and date for each.



US National Phase of PCT/GB2004/0027347

10/562117

Serial No.: unknown

C#/M#: T24-1140

Inventor/s: DAY et al.

Atty: Arthur R. Crawford

Date: December 23, 2005

Title: SAFETY HELMETS

- ☐ Address Indication Form
- ☒ Amendment (Preliminary)
- 31 Pages Specification, Claims & Abstract
- 42 Claims; 2 Sheets of Drawings (Figs. 1-4)
- ☒ Declaration (8 Pages)
- ☒ Assignment (9 Pages) Including Cover
- ☐ Priority Document(s)

☒ Credit Card Payment Form attached (\$990.00)

Other: Information Disclosure Statement and PTO/SB/08a  
w/refs.

IAP4 Rec'd PCT/PTO 23 DEC 2005